

EXPRESS MAIL CERTIFICATE

Date 9/18/02 Label No. EV187599755-05

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

Name (Print) DB PeckSignature DB Peck

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 5983/1G123US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mary K. CROW; Yixin LI

Serial No.: 10/088,319

Art Unit: TBA

Confirmation No.: 1541

Filed: March 13, 2002

Examiner: TBA

For: ALTERED NUCLEOTIDE SEQUENCE IN CD40 LIGAND PROMOTER

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Payment in the amount of \$ 105, (\$ filing; \$40 recording; \$65 late)
in the form of:
☒ [X] check
☐ [] deposit account no. 04-0100
☐ [] credit card (see attached form)

(See attached Fee Computation Sheet)

3. [X] Applicant claims small entity status.
PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.
4. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

Country: U.S.A.
Number: 60/153,625
Date: SEPTEMBER 13, 1999

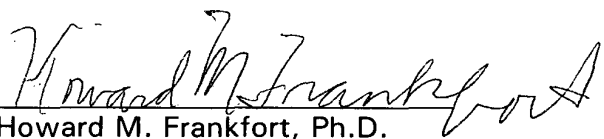
Country: PCT
Number: PCT/US00/24966
Date: SEPTEMBER 13, 2000

The priority documents ☐ are enclosed
☐ will follow.
☒ were filed in a previous application.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: September 13, 2002


Howard M. Frankfort, Ph.D.
Reg. No. 32,613
Agent for Applicant(s)

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Serial No. 10/088,319

Docket No. 5983/1G123US2

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PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box 1871
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10 088,319	Mary K Crow	5983/1G123-US2

INTERNATIONAL APPLICATION NO.

PCT/US00/24966

I.A. FILING DATE	PRIORITY DATE
09/13/2000	09/13/1999

Howard M Frankfort
Darby & Darby
805 Third Avenue
New York, NY 10022-7513

DUE: July 20, 2002
Docketed on 5/30 by SP for
Docketed with office ☐
Attorney [Signature]

CONFIRMATION NO. 1541

371 FORMALITIES LETTER



OC000000008135679

Date Mailed: 05/20/2002

12-20-02

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- US Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of the International Application
- Copy of the International Search Report
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371.

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 305-3738

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10088319	PCT/US00/24966	5983/1G123-US2

FORM PCT/DO/EO/905 (371 Formalities Notice)

09/24/2002 SHAJARRO 00000046 10088319

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